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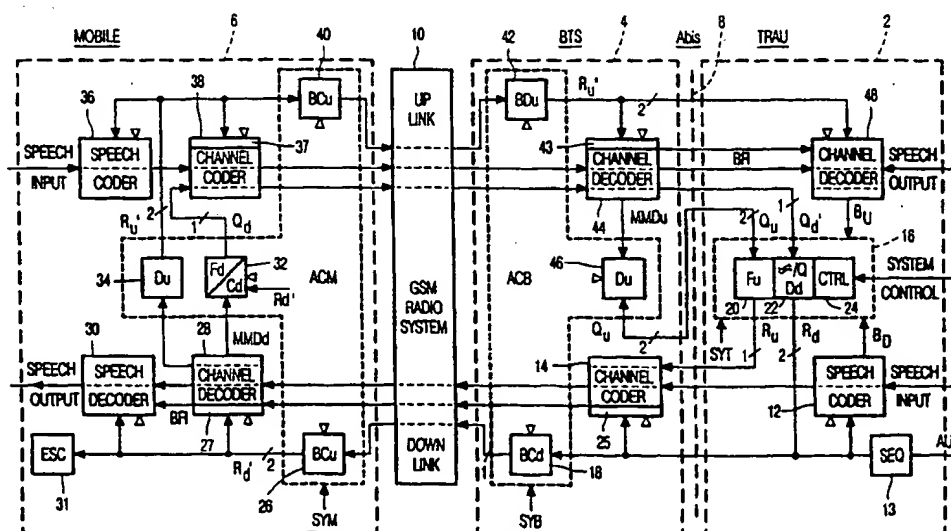
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## (57) Abstract

In a transmission system comprising a transmitter (4) coupled via a transmission channel (10) to a receiver (6). The transmitter (4) comprises a channel encoder (14) for deriving encoded symbols from source symbols. The receiver (6) comprises a channel decoder (28) for reconstructing the source symbols from the signal received from the transmission channel (10). According to the present invention, the transmitter (4) comprises a separate encoder for coding and transmitting a coding property used in the channel encoder (14) to the receiver (6). The receiver (6) is arranged for receiving the encoded coding property from the transmission medium, and the separate channel decoder (26) is arranged for decoding the encoded coding property. The coding property provided by the separate channel decoder (26) is passed to setting means (27) in the channel decoder (28) for setting the coding property of the channel decoder (28).

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Transmission system with adaptive channel encoder and decoder.

The present invention relates to a transmission system comprising a transmitter coupled via a transmission channel to a receiver, in which the transmitter comprises a channel encoder for encoding source symbols into coded symbols according to a coding property, and in which the receiver comprises a source decoder for deriving reconstructed source symbols  
5 from the coded symbols received from the transmission channel, the transmission system further comprises transmission quality determining means for deriving a transmission quality measure, and coding property setting means for setting the coding property to a value dependent on the transmission quality measure.

The present invention also relates to a transmitter and a receiver for use in such  
10 a transmission system. The invention further relates to a transmission method.

Such transmission systems can be used in applications where the quality of the transmission channel shows considerable variations. To enable a virtual error free transmission over such a transmission channel, in the transmitter the source symbols are encoded using a channel encoder according an error correcting code having error correcting capabilities. In the  
15 receiver the source symbols are reconstructed by a channel decoder. Useful codes can include convolutional codes and several types of block codes such as Reed-Solomon codes. Also a combination of a convolutional code with a block code is often used.

The ratio between the number of source symbols and the number of channel symbols of such a code is called the rate of the code. The error correction capabilities of such a  
20 code depend heavily on the rate of the code. In case of a transmission channel with a strongly varying transmission quality the rate of the used channel code should be chosen to obtain virtually error free transmission at the worst channel conditions. This leads to a loss of useful transmission capacity when the transmission quality is high.

To prevent this loss of transmission capacity, the transmission system can set  
25 the at least one coding property e.g. the rate of the channel encoder, in dependence on the transmission quality. If a Viterbi decoder is used as channel decoder, the transmission quality measure can be derived from the likelihood measures used in the Viterbi decoder.

Other quality measures can e.g. be constituted by a number of detected transmission errors per unit of time.

The problem to be solved by the present invention is how to derive a reliable quality measure despite of rapidly changing channel properties and changing coding properties.

To solve this problem the transmission system according to the present invention is characterized in that in that the transmission system comprises a filter for deriving a filtered transmission quality measure, and in that the transmission system comprises filter initializing means for setting the filter to a predetermined initial state at a changing coding property.

By using a filter to derive a filtered quality measure, an averaged quality measure is obtained which is suitable as basis for making decisions about the coding property. In order to reduce transient phenomena in the filter at the change of the coding property, the filter is set to a predetermined initial state.

An embodiment of the invention is characterized in that said initial state corresponds to a typical quality measure for the changed coding property.

By setting the initial state of the filter to a value corresponding to a typical quality measure, it is obtained that no transient phenomena occur in the filter when the transmission quality corresponds to said typical value when the coding property is changed.

A further embodiment of the invention is characterized in that the receiver comprises transmission means for transmitting the quality measure to the transmitter, in that the transmitter comprises a transmitter filter for obtaining a filtered quality measure, and in that the coding property setting means are arranged for setting the coding property in dependence on the filtered quality measure.

By transmitting the quality measure to the transmitter via a return link, the filter can be placed in the transmitter. It is observed that it is also possible that a filter is present in the receiver and the transmitter. The receiver is then arranged for transmitting via the return path a (pre) filtered quality measure to the transmitter, which performs a further filter operation on the (pre) filtered signal. The transmitter can be included in a base station for mobile communication, but it is also possible that the transmitter is included in the mobile station.

The present invention will now be explained with reference to the drawing figures.

Fig. 1 shows a transmission system according to the invention.

Fig. 2 shows a frame structure use in the transmission system according to Fig. 1.

Fig. 3 shows a filter according to the invention for filtering the quality measure.

Fig. 4 shows a first trellis diagram used in a Viterbi decoder which provides the quality measure used in the present invention.

Fig. 5 shows a second trellis diagram used in a Viterbi decoder which provides the quality measure used in the present invention.

Fig. 6 shows a flow diagram of a program for a programmable processor to implement the Viterbi decoder.

The transmission system according to Fig. 1, comprises three important elements being the TRAU (Transcoder and Rate Adapter Unit) 2, the BTS (Base Transceiver Station) 4 and the Mobile Station 6. The TRAU 2 is coupled to the BTS 4 via the A-bis interface 8. The BTS 4 is coupled to the Mobile Unit 6 via an Air Interface 10.

A main signal being here a speech signal to be transmitted to the Mobile Unit 6, is applied to a speech encoder 12. A first output of the speech encoder 12 carrying an encoded speech signal, also referred to as source symbols, is coupled to a channel encoder 14 via the A-bis interface 8. A second output of the speech encoder 12, carrying a background noise level indicator  $B_D$  is coupled to an input of a system controller 16. A first output of the system controller 16 carrying a coding property, being here a downlink rate assignment signal  $R_D$  is coupled to the speech encoder 12 and, via the A-bis interface, to coding property setting means 15 in the channel encoder 14 and to a further channel encoder being here a block coder 18. A second output of the system controller 16 carrying an uplink rate assignment signal  $R_U$  is coupled to a second input of the channel encoder 14. The two-bit rate assignment signal  $R_U$  is transmitted bit by bit over two subsequent frames. The rate assignment signals  $R_D$  and  $R_U$  constitute a request to operate the downlink and the uplink transmission system on a coding property represented by  $R_D$  and  $R_U$  respectively.

It is observed that the value of  $R_D$  transmitted to the mobile station 6 can be overruled by the coding property sequencing means 13 which can force a predetermined sequence of coding properties, as represented by the rate assignment signal  $R_U$ , onto the block encoder 18 the channel encoder 14 and the speech encoder 13. This predetermined sequence can be used for conveying additional information to the mobile station 6, without needing additional space in the transmission frame. It is possible that more than one predetermined

sequence of coding properties is used. Each of the predetermined sequences of coding properties corresponds to a different auxiliary signal value.

The system controller 16 receives from the A-bis interface quality measures  $Q_U$  and  $Q_D$  indicating the quality of the air interface 10 (radio channel) for the uplink and the downlink. The quality measure  $Q_U$  is compared with a plurality of threshold levels, and the result of this comparison is used by the system controller 16 to divide the available channel capacity between the speech encoder 36 and the channel encoder 38 of the uplink. The signal  $Q_D$  is filtered by low pass filter 22 and is subsequently compared with a plurality of threshold values. The result of the comparison is used to divide the available channel capacity between the speech encoder 12 and the channel encoder 14. For the uplink and the downlink four different combinations of the division of the channel capacity between the speech encoder 12 and the channel encoder 14 are possible. These possibilities are presented in the table below.

$R_X$	$R_{\text{SPEECH}}(\text{kbit/s})$	$R_{\text{CHANNEL}}$	$R_{\text{TOTAL}}(\text{kbit/s})$
0	5.5	$1/4$	22.8
1	8.1	$3/8$	22.8
2	9.3	$3/7$	22.8
3	11.1	$1/2$	22.8
0	5.5	$1/2$	11.4
1	7.0	$5/8$	11.4
2	8.1	$3/4$	11.4
3	9.3	$6/7$	11.4

Table 1

From Table 1 it can be seen that the bitrate allocated to the speech encoder 12 and the rate of the channel encoder increases with the channel quality. This is possible because at better channel conditions the channel encoder can provide the required transmission quality (Frame Error Rate) using a lower bitrate. The bitrate saved by the larger rate of the channel encoder is exploited by allocating it to the speech encoder 12 in order to obtain a better speech quality. It is observed that the coding property is here the rate of the channel encoder 14. The coding property setting means 15 are arranged for setting the rate of the channel encoder 14 according to the coding property supplied by the system controller 16.

Under bad channel conditions the channel encoder needs to have a lower rate in order to be able to provide the required transmission quality. The channel encoder will be a

variable rate convolutional encoder which encodes the output bits of the speech encoder 12 to which an 8 bit CRC is added. The variable rate can be obtained by using different convolutional codes having a different basic rate or by using puncturing of a convolutional code with a fixed basic rate. Preferably a combination of these methods is used.

5 In Table 2 presented below the properties of the convolutional codes given in Table 1 are presented. All these convolutional codes have a value  $v$  equal to 5.

Pol/Rate	1/2	1/4	3/4	3/7	3/8	5/8	6/7
$G_1=43$							000002
$G_2=45$				003		00020	
$G_3=47$			001		301	01000	
$G_4=51$		4				00002	101000
$G_5=53$				202			
$G_6=55$		3					
$G_7=57$	2			020	230		
$G_8=61$			002				
$G_9=65$	1		110		022	02000	000001
$G_{10}=66$							
$G_{11}=67$		2					000010
$G_{12}=71$				001			
$G_{13}=73$					010		
$G_{14}=75$				110	100	10000	000100
$G_{15}=77$		1				00111	010000

Table 2

10 In Table 2 the values  $G_i$  represent the generator polynomials. The generator polynomials  $G(n)$  are defined according to:

$$G_i(D) = g_0 \oplus g_1 \cdot D \oplus \dots \oplus g_{n-1} \cdot D^{n-1} \oplus g_n \cdot D^n \quad (A)$$

In (1)  $\oplus$  is a modulo-2 addition.  $i$  is the octal representation of the sequence  $g_0, g_1, \dots, g_{n-1}, g_n$ .

For each of the different codes the generator polynomials used in it, are indicated by a number in the corresponding cell. The number in the corresponding cell

15 indicates for which of the source symbols, the corresponding generator polynomial is taken into account. Furthermore said number indicates the position of the coded symbol derived by

using said polynomial in the sequence of source symbols. Each digit indicates the position in the sequence of channel symbols, of the channel symbol derived by using the indicated generator polynomial. For the rate 1/2 code, the generator polynomials 57 and 65 are used. For each source symbol first the channel symbol calculated according to polynomial 65 is transmitted, and secondly the channel symbol according to generator polynomial 57 is transmitted. In a similar way the polynomials to be used for determining the channel symbols for the rate 1/4 code can be determined from Table 3. The other codes are punctured convolutional codes. If a digit in the table is equal to 0, it means that the corresponding generator polynomial is not used for said particular source symbol. From Table 2 can be seen that some of the generator polynomials are not used for each of the source symbols. It is observed that the sequences of numbers in the table are continued periodically for sequences of input symbols longer than 1, 3, 5 or 6 respectively.

It is observed that Table 1 gives the values of the bitrate of the speech encoder 12 and the rate of the channel encoder 14 for a full rate channel and a half rate channel. The decision about which channel is used is taken by the system operator, and is signaled to the TRAU 2, the BTS 4 and the Mobile Station 6, by means of an out of band control signal, which can be transmitted on a separate control channel. To the channel encoder 14 also the signal  $R_U$  is applied.

The block coder 18 is present to encode the selected rate  $R_D$  for transmission to the Mobile Station 6. This rate  $R_D$  is encoded in a separate encoder for two reasons. The first reason is that it is desirable to inform the channel decoder 28 in the mobile station of a new rate  $R_D$  before data encoded according to said rate arrives at the channel decoder 28. A second reason is that it is desired that the value  $R_D$  is better protected against transmission errors than it is possible with the channel encoder 14. To enhance the error correcting properties of the encoded  $R_D$  value even more, the codewords are split in two parts which are transmitted in separate frames. This splitting of the codewords allows longer codewords to be chosen, resulting in further improved error correcting capabilities.

The block coder 18 encodes the coding property  $R_D$  which is represented by two bits into an encoded coding property encoded according to a block code with codewords of 16 bits if a full rate channel is used. If a half rate channel is used, a block code with codewords of 8 bits are used to encode the coding property. The codewords used are presented below in Table 3 and Table 4.



$R_D[1]$	$R_D[2]$	$C_0$	$C_1$	$C_2$	$C_3$	$C_4$	$C_5$	$C_6$	$C_7$
0	0	0	0	0	0	0	0	0	0
0	1	0	0	1	1	1	1	0	1
1	0	1	1	0	1	0	0	1	1
1	1	1	1	1	0	1	1	1	0

Table 3 : Half Rate Channel

$R_D[1]$	$R_D[2]$	$C_0$	$C_1$	$C_2$	$C_3$	$C_4$	$C_5$	$C_6$	$C_7$	$C_8$	$C_9$	$C_{10}$	$C_{11}$	$C_{12}$	$C_{13}$	$C_{14}$	$C_{15}$
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
0	1	0	0	1	1	1	1	0	1	0	0	1	1	1	1	0	1
1	0	1	1	0	1	0	0	1	1	1	1	0	1	0	0	1	1
1	1	1	1	1	0	1	1	1	0	1	1	1	0	1	1	1	0

Table 4 : Full Rate Channel

From Table 3 and Table 4, it can be seen that the codewords used for a full rate channel are obtained by repeating the codewords used for a half rate channel, resulting in improved error correcting properties. In a half-rate channel, the symbols  $C_0$  to  $C_3$  are transmitted in a first frame, and the bits  $C_4$  to  $C_7$  are transmitted in a subsequent frame. In a full-rate channel, the symbols  $C_0$  to  $C_7$  are transmitted in a first frame, and the bits  $C_8$  to  $C_{15}$  are transmitted in a subsequent frame.

The outputs of the channel encoder 14 and the block encoder 18 are transmitted in time division multiplex over the air interface 10. It is however also possible to use CDMA for transmitting the several signals over the air interface 10. In the Mobile Station 6, the signal received from the air interface 10 is applied to a channel decoder 28 and to a further channel decoder being here a block decoder 26. The block decoder 26 is arranged for deriving the coding property represented by the  $R_D$  bits by decoding the encoded coding property represented by codeword  $C_0 \dots C_N$ , in which  $N$  is 7 for the half rate channel and  $N$  is 15 for the full rate channel.

The block decoder 26 is arranged for calculating the correlation between the four possible codewords and its input signal. This is done in two passes because the codewords are transmitted in parts in two subsequent frames. After the input signal

corresponding to the first part of the codeword has been received, the correlation value between the first parts of the possible codewords and the input value are calculated and stored. When in the subsequent frame, the input signal corresponding to the second part of the codeword is received, the correlation value between the second parts of the possible codewords and the input signal are calculated and added to the previously stored correlation value, in order to obtain the final correlation values. The value of  $R_D$  corresponding to the codeword having the largest correlation value with the total input signal, is selected as the received codeword representing the coding property, and is passed to the output of the block decoder 26. The output of the block decoder 26 is connected to a control input of the property setting means in the channel decoder 28 and to a control input of the speech decoder 30 for setting the rate of the channel decoder 28 and the bitrate of the speech decoder 30 to a value corresponding to the signal  $R_D$ .

The channel decoder 28 decodes its input signal, and presents at a first output an encoded speech signal to an input of a speech decoder 30.

The channel decoder 28 presents at a second output a signal BFI (Bad Frame Indicator) indicating an incorrect reception of a frame. This BFI signal is obtained by calculating a checksum over a part of the signal decoded by a convolutional decoder in the channel decoder 28, and by comparing the calculated checksum with the value of the checksum received from the air interface 10.

The speech decoder 30 is arranged for deriving a replica of the speech signal of the speech encoder 12 from the output signal of the channel decoder 20. In case a BFI signal is received from the channel decoder 28, the speech decoder 30 is arranged for deriving a speech signal based on the previously received parameters corresponding to the previous frame. If a plurality of subsequent frames are indicated as bad frame, the speech decoder 30 can be arranged for muting its output signal.

The channel decoder 28 provides at a third output the decoded signal  $R_U$ . The signal  $R_U$  represents a coding property being here a bitrate setting of the uplink. Per frame the signal  $R_U$  comprises 1 bit (the RQI bit). In a deformatter 34 the two bits received in subsequent frames are combined in a bitrate setting  $R_U'$  for the uplink which is represented by two bits. This bitrate setting  $R_U'$  which selects one of the possibilities according to Table 1 to be used for the uplink is applied to a control input of a speech encoder 36, to a control input of a channel encoder 38, and to an input of a further channel encoder being here a block encoder 40. If the channel decoder 20 signals a bad frame by issuing a BFI signal, the decoded signal  $R_U$  is not used for setting the uplink rate, because it is regarded as unreliable

The channel decoder 28 provides at a fourth output a quality measure MMDd. This measure MMD can easily be derived when a Viterbi decoder is used in the channel decoder. This quality measure is filtered in the processing unit 32 according to a first order filter. For the output signal of the filter in the processing unit 32 can be written:

$$\text{MMD}'[n] = (1 - \alpha) \cdot \text{MMD}[n] + \alpha \cdot \text{MMD}'[n-1] \quad (B)$$

After the bitrate setting of the channel decoder 28 has been changed in response to a changed value of  $R_D$ , the value of  $\text{MMD}'[n-1]$  is set to a typical value corresponding to the long time average of the filtered MMD for the newly set bitrate and for a typical downlink channel quality. This is done to reduce transient phenomena when switching between different values of the bitrate.

The output signal of the filter is quantized with 2 bits to a quality indicator  $Q_D$ . The quality indicator  $Q_D$  is applied to a second input of the channel encoder 38. The 2 bit quality indicator  $Q_D$  is transmitted once each two frames using one bit position in each frame.

A speech signal applied to the speech encoder 36 in the mobile station 6 is encoded and passed to the channel encoder 38. The channel encoder 38 calculates a CRC value over its input bits, adds the CRC value to its input bits, and encodes the combination of input bits and CRC value according to the convolutional code selected by the signal  $R_U'$  from Table 1.

The block encoder 40 encodes the signal  $R_U'$  represented by two bits according to Table 3 or Table 4 dependent on whether a half-rate channel or a full-rate channel is used. Also here only half a codeword is transmitted in a frame.

The output signals of the channel encoder 38 and the block encoder 40 in the mobile station 6 are transmitted via the air interface 10 to the BTS 4. In the BTS 4, the block coded signal  $R_U'$  is decoded by a further channel decoder being here a block decoder 42. The operation of the block decoder 42 is the same as the operation of the block decoder 26. At the output of the block decoder 42 a decoded coding property represented by a signal  $R_U''$  is available. This decoded signal  $R_U''$  is applied to a control input of coding property setting means in a channel decoder 44 and is passed, via the A-bis interface, to a control input of a speech decoder 48.

In the BTS 4, the signals from the channel encoder 38, received via the air interface 10, are applied to the channel decoder 44. The channel decoder 44 decodes its input signals, and passes the decoded signals via the A-bis interface 8 to the TRAU 2. The channel decoder 44 provides a quality measure MMDu representing the transmission quality of the uplink to a processing unit 46. The processing unit 46 performs a filter operation similar to

that performed in the processing unit 32 and 22. Subsequently the result of the filter operation is quantized in two bits and transmitted via the A-bis interface 8 to the TRAU 2.

In the system controller 16, a decision unit 20 determines the bitrate setting  $R_U$  to be used for the uplink from the quality measure  $Q_U$ . Under normal circumstances, the part of the channel capacity allocated to the speech coder will increase with increasing channel quality. The rate  $R_U$  is transmitted once per two frames.

The signal  $Q_D'$  received from the channel decoder 44 is passed to a processing unit 22 in the system controller 16. In the processing unit 22, the bits representing  $Q_D'$  received in two subsequent frames are assembled, and the signal  $Q_D'$  is filtered by a first order low-pass filter, having similar properties as the low pass filter in the processing unit 32.

The filtered signal  $Q_D'$  is compared with two threshold values which depend on the actual value of the downlink rate  $R_D$ . If the filtered signal  $Q_D'$  falls below the lowest of said threshold value, the signal quality is too low for the rate  $R_D$ , and the processing unit switches to a rate which is one step lower than the present rate. If the filtered signal  $Q_D'$  exceeds the highest of said threshold values, the signal quality is too high for the rate  $R_D$ , and the processing unit switches to a rate which is one step higher than the present rate. The decision taking about the uplink rate  $R_U$  is similar as the decision taking about the downlink rate  $R_D$ .

Again, under normal circumstances, the part of the channel capacity allocated to the speech coder will increase with increasing channel quality. Under special circumstances the signal  $R_D$  can also be used to transmit a reconfiguration signal to the mobile station. This reconfiguration signal can e.g. indicate that a different speech encoding/decoding and or channel coding/decoding algorithm should be used. This reconfiguration signal can be encoded using a special predetermined sequence of  $R_D$  signals. This special predetermined sequence of  $R_D$  signals is recognised by an escape sequence decoder 31 in the mobile station, which is arranged for issuing a reconfiguration signal to the effected devices when a predetermined (escape) sequence has been detected. The escape sequence decoder 30 can comprise a shift register in which subsequent values of  $R_D$  are clocked. By comparing the content of the shift register with the predetermined sequences, it can easily be detected when an escape sequence is received, and which of the possible escape sequences is received.

An output signal of the channel decoder 44, representing the encoded speech signal, is transmitted via the A-Bis interface to the TRAU 2. In the TRAU 2, the encoded speech signal is applied to the speech decoder 48. A signal BFI at the output of the channel decoder 44, indicating the detecting of a CRC error, is passed to the speech decoder 48 via the

A-Bis interface 8. The speech decoder 48 is arranged for deriving a replica of the speech signal of the speech encoder 36 from the output signal of the channel decoder 44. In case a BFI signal is received from the channel decoder 44, the speech decoder 48 is arranged for deriving a speech signal based on the previously received signal corresponding to the previous frame, in the same way as is done by the speech decoder 30. If a plurality of subsequent frames are indicated as bad frame, the speech decoder 48 can be arranged for performing more advanced error concealment procedures.

Fig. 2 shows the frame format used in a transmission system according to the invention. The speech encoder 12 or 36 provides a group 60 of C-bits which should be protected against transmission errors, and a group 64 of U-bits which do not have to be protected against transmission errors. The further sequence comprises the U-bits. The decision unit 20 and the processing unit 32 provide one bit RQI 62 per frame for signaling purposes as explained above.

The above combination of bits is applied to the channel encoder 14 or 38 which first calculates a CRC over the combination of the RQI bit and the C-bits, and appends 8 CRC bits behind the C-bits 60 and the RQI bit 62. The U-bits are not involved with the calculation of the CRC bits. The combination 66 of the C-bits 60 and the RQI bit 62 and the CRC bits 68 are encoded according to a convolutional code into a coded sequence 70. The encoded symbols comprise the coded sequence 70. The U-bits remain unchanged.

The number of bits in the combination 66 depends on the rate of the convolutional encoder and the type of channel used, as is presented below in Table 5.

#bits/rate	1/2	1/4	3/4	3/7	3/8	5/8	6/7
Full rate	217	109	189	165			
Half rate	105		159		125	174	

Table 5: Number of bits in the combination 66 for different rates and channels.

The two  $R_A$  bits which represent the coding property are encoded in codewords 74, which represent the encoded coding property, according the code displayed in Table 3 or 4, dependent on the available transmission capacity (half rate or full rate). This encoding is only performed once in two frames. The codewords 74 are split in two parts 76 and 78 and transmitted in the present frame and the subsequent frame.

In the filter 34, 22 according to Fig. 3, the input signal is applied to a multiplier 164 which multiplies the input signal with a constant  $(1-\alpha)$  defining the time constant of the

filter. The output of the multiplier 164 is connected to a first input of an adder 166. An output of the adder 166 is connected to a first input of a selector 167, which passes under normal operation the output signal of the adder 166 to an input of a quantizer 174 and to an input of a delay element 170. The output of the delay element 170 is connected to an input of a multiplier 172 which multiplies the output signal of the delay element 170 with a factor  $\alpha$ . The output of the multiplier 172 is connected to a second input of the adder 166.

The combination of the multiplier 164, the adder 166, the delay element 170 and the multiplier 172 constitutes a low pass filter with a DC transfer function of 1 and a time constant which is proportional to  $\alpha \cdot D$ , in which D is the delay value of the delay element 170.

According to the present invention the filter can be set to a predetermined state in response to a changing coding property. For that purpose, the filter 22, 34 comprises filter initialisation means comprising a change detector 162, a memory 160 and a selector 168. The change detector 162 detects a changing coding property by comparing the  $R_X$  value of the present frame with the  $R_X$  value of the previous frame. If the values differ, the selector 168 is activated in order to pass an initial state corresponding to the new value of  $R_X$  from a memory 160 to the delay element 170, which takes over this value. The value read from the memory 160 depends on the new and the previous  $R_X$  value, and is equal to one of the threshold values used in the processing unit 22. If the previous  $R_X$  value indicated a lower transmission quality, the value read from the memory 160 is equal to the lower threshold value used in the processing unit 22. If the previous  $R_X$  value indicated a higher transmission quality, the value read from the memory 160 is equal to the higher threshold value used in the processing unit 22. This is done to enable a quick switching back to the original situation when this is required.

In the table below the relation between the previous value of  $R_X$ , the present value of  $R_X$  and the initial states of the filter is shown.

Previous $R_X$	Present $R_X$	Initial filter state
1	0	Threshold <sub>0</sub>
0	1	Threshold <sub>1</sub> (low)
2	1	Threshold <sub>1</sub> (high)
1	2	Threshold <sub>2</sub> (low)
3	2	Threshold <sub>2</sub> (high)
2	3	Threshold <sub>3</sub>

Table 6

It is observed that for present values  $R_x$  is 0 and 2 only one threshold value is used, because from that state switching in only one direction is possible.

Fig. 3 shows an example of a trellis to be used in the channel decoders 28 and 29 for determining the sequences of source symbols from the sequences of channel symbols. It is assumed that the source symbols are encoded using a convolutional channel encoder.

In a convolutional encoder, the sequence of source symbols are clocked into a shift register with length  $v$ . The state of the convolutional encoder is defined by the content of the shift register. If a binary convolutional encoder is used, the number of possible states of the convolutional encoder is equal to  $2^v$ . The channel symbols are obtained by combining several symbols available at different taps of the shift register by using modulo-two operations.

The channel decoder is arranged for estimating the state sequences as they are present during the encoding process in the decoder. This estimating is done by determining candidate state sequences on basis of a likelihood measure, further to be referred to as path metric. This path metric is determined from the channel signal and said candidate state sequences. The number of candidate sequences is equal to the number of states in the channel encoder.

At the beginning of the decoding process, each of the candidate sequences consists of one of the  $2^v$  different states. The likelihood measures of all the states is set to equal values. After having received the channel signal corresponding to the initial state of the channel encoder, the candidate sequences are extended by constructing extended candidate sequences. Each extended candidate sequence comprises the originating candidate sequence to which one of the possible new states are appended. For each new state, the path metric for all the paths leading to said new state is calculated from the path metric of the originating state and a branch metric determined from the channel signal and the channel symbols corresponding to the transition between the originating state and the new state.

The decoding step is terminated by keeping only the path and the corresponding path metric of the best path leading to the new state.

In the channel decoder described by the trellis according to Fig. 4, the decoding is continued until the trellis has been extended  $N$  times, in which  $N$  is the number of source symbols. At that time, the state having the largest path metric is used as starting point for a trace back operation to find a earlier state, which is here the state 204 at the  $v^{\text{th}}$  extension of the state sequence ( $t=v$ ). From the trellis according to Fig. 4 it can be seen that the paths for  $t \leq v$  are merged. The state 204 is stored for later use. After the state at  $t = N$  the decoding is

continued until  $t = N + v$ . At  $t = N + v$ , the state 206 is selected as the state corresponding to the earlier state 204 which was stored at  $t = N$ . At state 206 the best path is selected, and this path is traced back to state 204 for determining the source symbols at each transition. It is observed that the source bit are not found in the correct order, but that they are circularly shifted over  $v$  symbols. By shifting them back over  $v$  symbols, the correct order can be restored.

It is preferable to delay the traceback to find the earlier state 204 until the state sequence has been extended to  $t = N + v$ . At  $t = N + v$ , the state having the largest path metric is selected and is used as starting point for the trace back operation to find the earlier state 204 at  $t = v$ . Subsequently the state 206 is selected as the final state, which is used for determining the sequence of source symbols.

In Fig. 5 a trellis of a slightly modified decoder is shown. This trellis differs only for  $t > N$  from the trellis according to Fig. 4. After the selection of the most likely state at  $t = N$  and the subsequent traceback to find the earlier state 204, the decoder forces the trellis to end in state 206. The states 208 and 210 are not included anymore, because they can not lead to state 206. For the same reason, the path metrics of the states 212, 214 and 216 are not determined.



In the flow diagram according to Fig. 6, the numbered blocks have the following meaning.

No.	Inscription	Meaning
220	$i:=0$ $j:=0$	The source symbol pointer and the channel symbol index are initialized.
222	$i \equiv N ?$	The value of $i$ is compared with $N$ .
224	$j:=0$	The channel symbol index is reset to 0.
226	Depuncture $j:=j+f(i)$	A depuncturing operation is performed and the channel symbol index is updated accordingly.
228	Branch Metric Calculations	The new branch metrics are calculated
230	$i > \text{mmdstart} \ \& \ i < \text{mmdstop}$	It is checked whether the source symbol pointer is in a predetermined range.
232	ACS with MMD calculations	The Add Compare Select operation, including the determination of the minimum metric distance is performed.
234	ACS without MMD calculations	The ACS operation is performed.
236	Store survivors	The survivors resulting from the ACS operation are stored.
238	$i:=i+1$	The source symbol pointer is incremented.
240	$i \equiv N+\epsilon ?$	The source symbol pointer is compared with $N + \epsilon$ .
242	Find best state	The best state is selected.
244	Trace back $N$ steps from $s_{\text{max}}$ to $s_0$	A trace back operation for finding the earlier state is performed.
246	$s_0 = s_{\text{max}} ?$	The selected state and the earlier state are compared.
248	Trace back $N$ steps from $s_{\text{max}}$ with $s_{\text{max}}$ set to $s_0$	The state $s_{\text{max}}$ is made equal to state $s_0$ and a trace back operation to the earlier state is performed.
250	Output source symbols and MMD	The source symbols and the corresponding MMD are made available at the output.

In the program according to the flow diagram of Fig. 5 it is assumed that the channel signal is sampled with the channel symbol period, and that the channel symbol samples are stored for later use. It is further observed that it is possible that a punctured convolution code is used. In a punctured convolutional coder, channel symbols at predetermined positions are simply  
5 deleted. In the corresponding decoder, the channel signal value is set to zero.

In instruction 220 the source symbol pointer  $i$  and the channel symbol index  $j$  are set to a value of 0. In instruction 222, the source symbol pointer  $i$  is compared with  $N$ . If  $i$  is equal to  $N$ , state sequences of length  $N$  have been determined, and all channel signal samples have been used once. In order to extend the encoding process, the first samples of the  
10 channel signal have to be re-used. This is obtained by resetting the channel symbol index to 0. In instruction 226 the channel signal samples to be used with the next to be performed branch metric calculations are determined. If a punctured convolutional code is used, the channel signal samples corresponding to non-transmitted channel symbols are set to a value of zero.

In instruction 228 the branch metrics are calculated. For each possible  
15 combination of new state and previous state the corresponding channel symbols are read from a table. The branch metric corresponding to said combination of new state and previous state is determined by calculating a correlation value between the channel signal samples and the channel symbols read from the table. It is observed that for the calculation of the correlation value a symbol value of 0 is represented by -1 and that a symbol value of 1 is represented by  
20 +1, because the ideal values of the channel signal samples are  $+a$  and  $-a$ . Channel signal samples corresponding to depunctured symbols are set to 0, indicating an erasure.

In instruction 230 it is checked whether the source symbol pointer lies in a range between  $mmdstart$  and  $mmdstop$ . The values  $mmdstart$  and  $mmdstop$  define a range within the trellis in which the quality measure is determined. If  $i$  falls outside the range, the  
25 program continues at instruction 234 for performing the Add Compare Select operation.

For each new state, the path metric of all paths ending in said new state is calculated. This is done by adding the branch metric calculated in instruction 234 to the state metric of the corresponding previous state. Subsequently the path metrics of the different paths ending in said new state are compared, and the path having the largest path metric is selected.  
30 The other paths are discarded. In the case of binary convolutional codes derived from a  $1/n$  basic code, only two paths end in each new state. This add compare select operation is performed for each new state.

In instruction 232 the same operation as in instruction 234 is performed, but now also the MMD value is calculated. A metric difference (MD) is the difference between

the two path metrics of the competing paths ending in a new state. The MMD value of a path is the minimum value of the metric difference (MD) encountered on said path. The MMD value of the path finally selected is a good measure for the transmission quality. The implementation effort for determining the MMD is quite modest. Only a memory for keeping track of the MMD for each state has to be added. The calculations to be performed are also needed for the add compare select operation. The use of the MMD as measure for the transmission quality is generally applicable. Its use is not restricted to the "tail biting" or "zero tailing" codes discussed herein.

10 In instruction 236 the surviving state sequences are stored. This is done by storing for each state one symbol (one bit in the case of two possible paths ending in a state) for each state transition. This symbol uniquely defines the state transition. The value of the symbol may be taken equal to the source symbol corresponding to said transition.

In instruction 238 the source symbol pointer is incremented to prepare processing of the next stage of the trellis.

15 In instruction 240, the value of the source symbol pointer  $i$  is compared with a value  $N+\epsilon$ . The value of  $\epsilon$  is chosen as compromise between the decoding complexity and the decoding quality. Experiments have shown that a suitable value for  $\epsilon$  is  $\nu$ . For reduction of complexity, it can be advantageous to make  $\epsilon$  equal to a multiple of the puncturing period of the convolutional code. In a simulated system a value of  $\epsilon$  between  $2\nu$  and  $2\nu+2$  is used.

20 If the value of  $i$  is smaller than  $N+\epsilon$ , the program is continued at instruction 222 for processing the next stage of the trellis. Otherwise the program is continued at instruction 242. In instruction 242, the state having the largest path metric is selected as the best final state.

In instruction 244, a trace back operation is performed to find the earlier state. 25 This is done by recursively reconstructing the states passed by the selected path until the earlier state is reached. For this use is made of the (source) symbols stored with the selected path. These symbols stored along the path are stored separately.

In instruction 246, the best final state selected in instruction 242 is compared with the earlier state found in instruction 244. If both states are the same, the program continues at instruction 250. If both states differ, in instruction 248 the state found as the 30 earlier state is selected as final state; and a trace back until the earlier state is performed to determine all the source symbols.

In instruction 250, the reconstructed source sequence is passed to the output of the source encoder together with the MMD value associated with the finally selected final state.

## CLAIMS:

1. Transmission system comprising a transmitter coupled via a transmission channel to a receiver, in which the transmitter comprises a channel encoder for encoding source symbols into coded symbols according to a coding property, and in which the receiver comprises a source decoder for deriving reconstructed source symbols from the coded symbols  
5 received from the transmission channel, the transmission system further comprises transmission quality determining means for deriving a transmission quality measure, and coding property setting means for setting the coding property to a value dependent on the transmission quality measure, characterized in that the transmission system comprises a filter for deriving a filtered transmission quality measure, and in that the transmission system  
10 comprises filter initializing means for setting the filter to a predetermined initial state at a changing coding property.
2. Transmission system according to claim 1, characterized in that said initial state corresponds to a typical quality measure for the changed coding property.  
15
3. Transmission system according to claim 1 or 2, characterized in that the filter comprises a receiver filter present in the receiver.
4. Transmission system according to claim 1, 2 or 3, characterized in that the  
20 receiver comprises transmission means for transmitting the quality measure to the transmitter, in that the transmitter comprises a transmitter filter for obtaining a filtered quality measure, and in that the coding property setting means are arranged for setting the coding property in dependence on the filtered quality measure.
- 25 5. Transmission system according to claim 1, 2, 3 or 4, characterized in that the filter initializing means are arranged for setting the filter to a predetermined initial state corresponding to a threshold value used for setting the coding property in dependence on the filtered quality measure.

6. Transmitter comprises a channel encoder for encoding source symbols into coded symbols according to a coding property, said transmitter being arranged for transmitting the coded source symbol, the transmitter further comprises coding property setting means for setting the coding property to a value dependent on a transmission quality measure, characterized in that the transmitter is arranged for receiving a quality measure, in that the transmitter comprises a filter for deriving a filtered transmission quality measure from the received quality measure, and in that the transmitter comprises filter initializing means for setting the filter to a predetermined initial state at a changing coding property.
7. Receiver comprising a source decoder for deriving reconstructed source symbols from symbols encoded according to a coding property, the receiver further comprises transmission quality determining means for deriving a transmission quality measure, characterized in that the receiver comprises a filter for deriving a filtered transmission quality measure, and in that the receiver comprises filter initializing means for setting the filter to a predetermined initial state at a changing coding property.
8. Transmission method comprising encoding source symbols into coded symbols according to a coding property and transmitting the coded symbols; deriving reconstructed source symbols from the coded symbols; deriving a transmission quality measure, and setting the coding property to a value dependent on the transmission quality measure, characterized in that the method further comprises deriving a filtered transmission quality measure, and setting the filter to a predetermined initial state at a changing coding property.
9. Method comprises encoding source symbols into coded symbols according to a coding property which is set to a value dependent on a transmission quality measure, transmitting the coded source symbols, characterized in that the method comprises receiving a quality measure, deriving a filtered transmission quality measure from the received quality measure, and setting the filter to a predetermined initial state at a changing coding property.
10. Method comprising deriving reconstructed source symbols from symbols encoded according to a coding property, deriving a transmission quality measure, characterized in that the method comprises deriving a filtered transmission quality measure, and in that the method comprises setting the filter to a predetermined initial state at a changing coding property.

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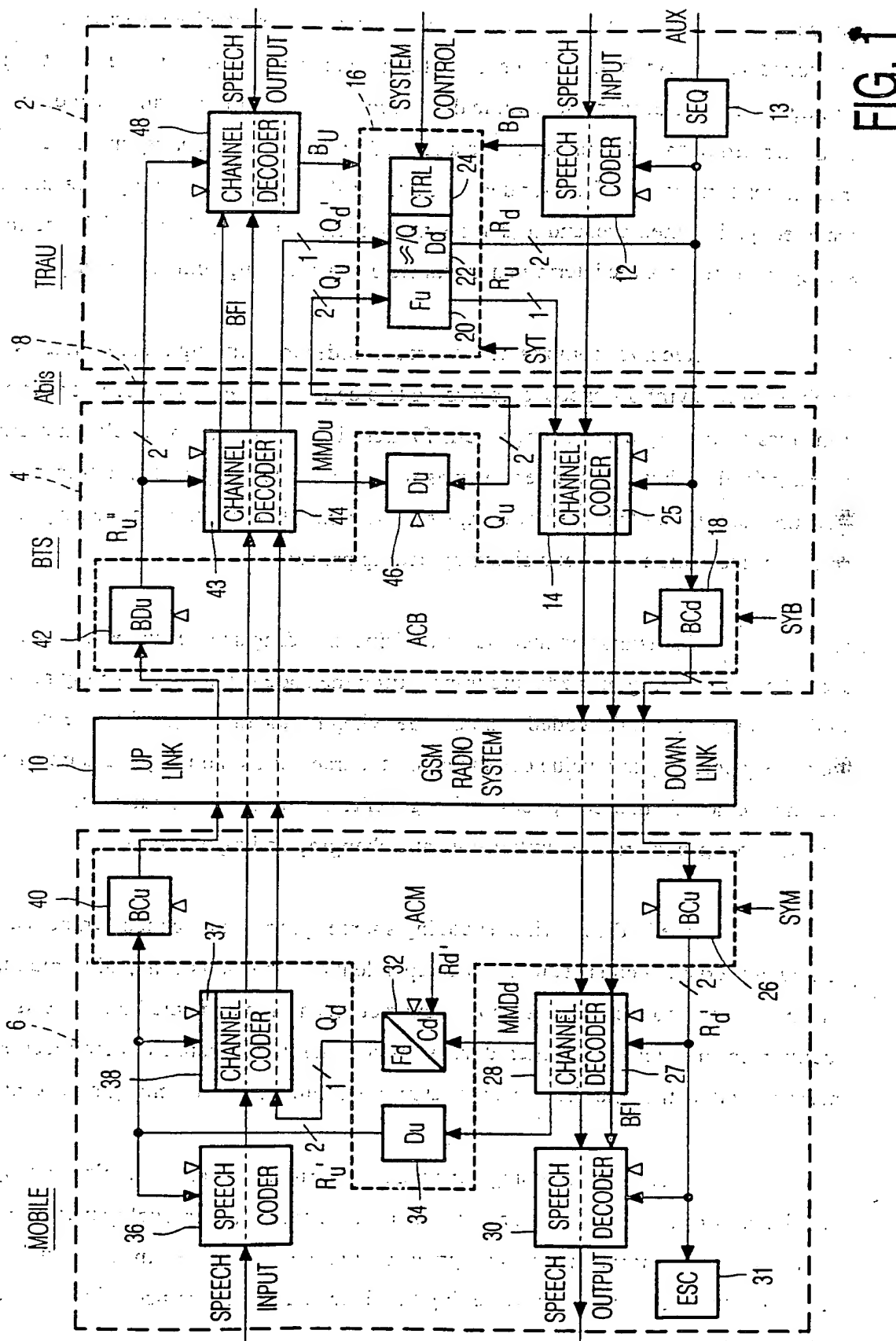


FIG. 1

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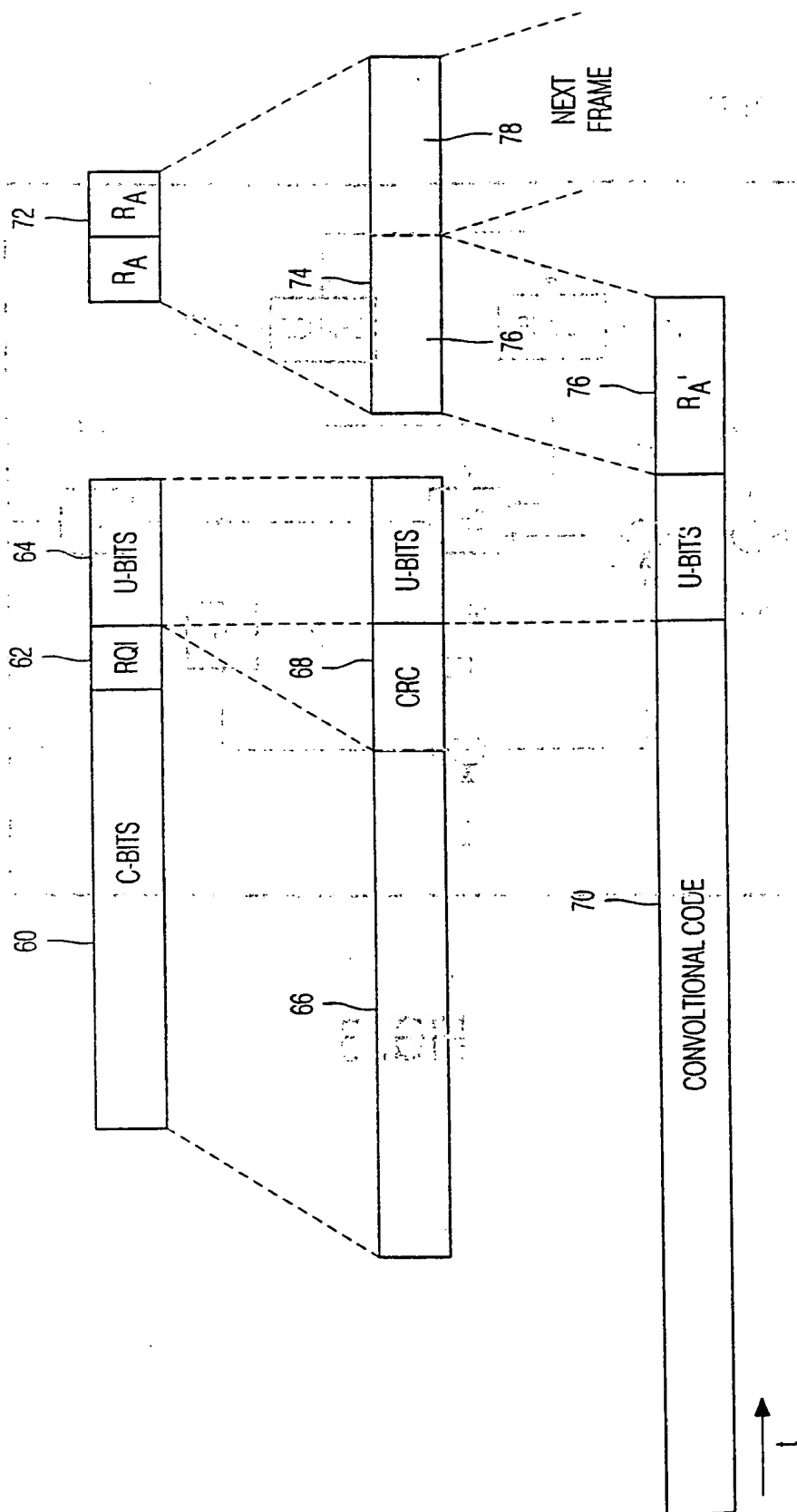


FIG. 2



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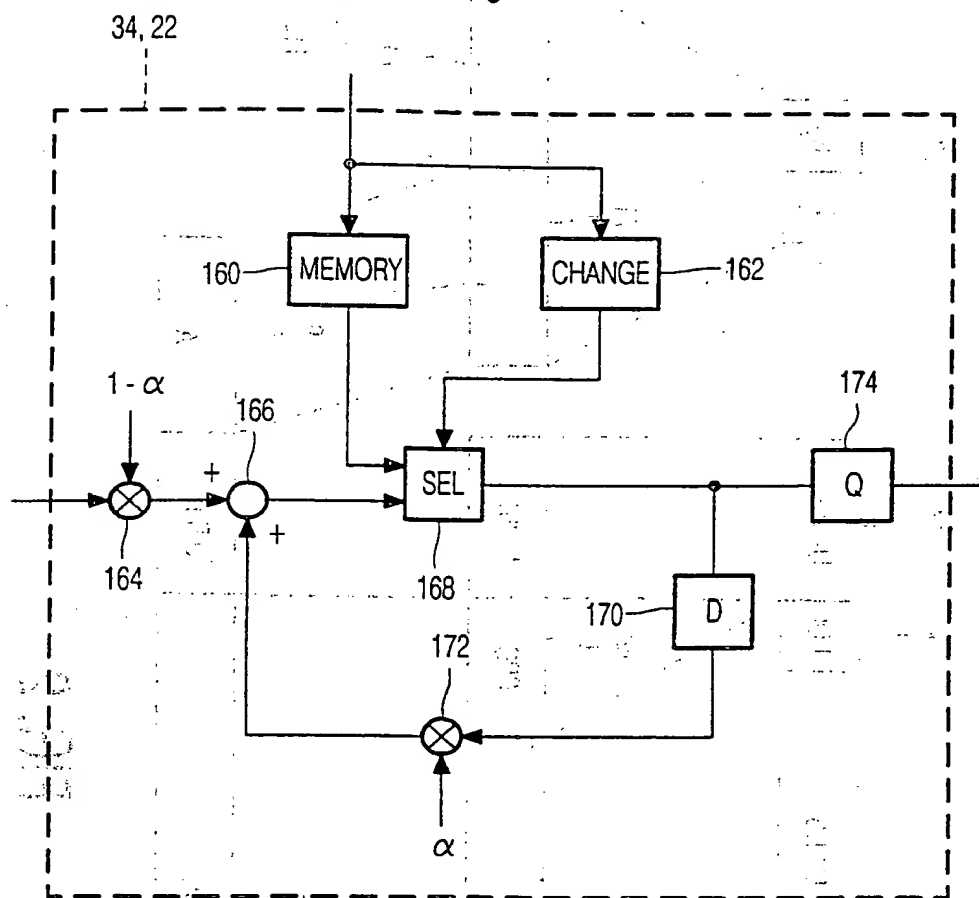


FIG. 3

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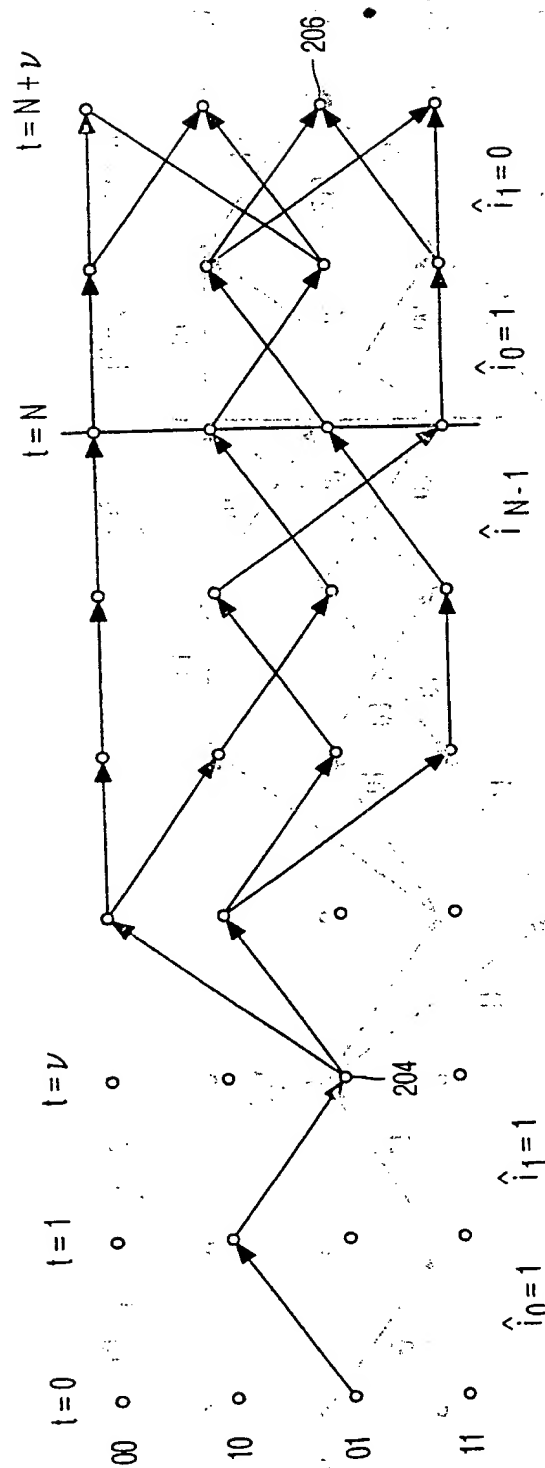


FIG. 4

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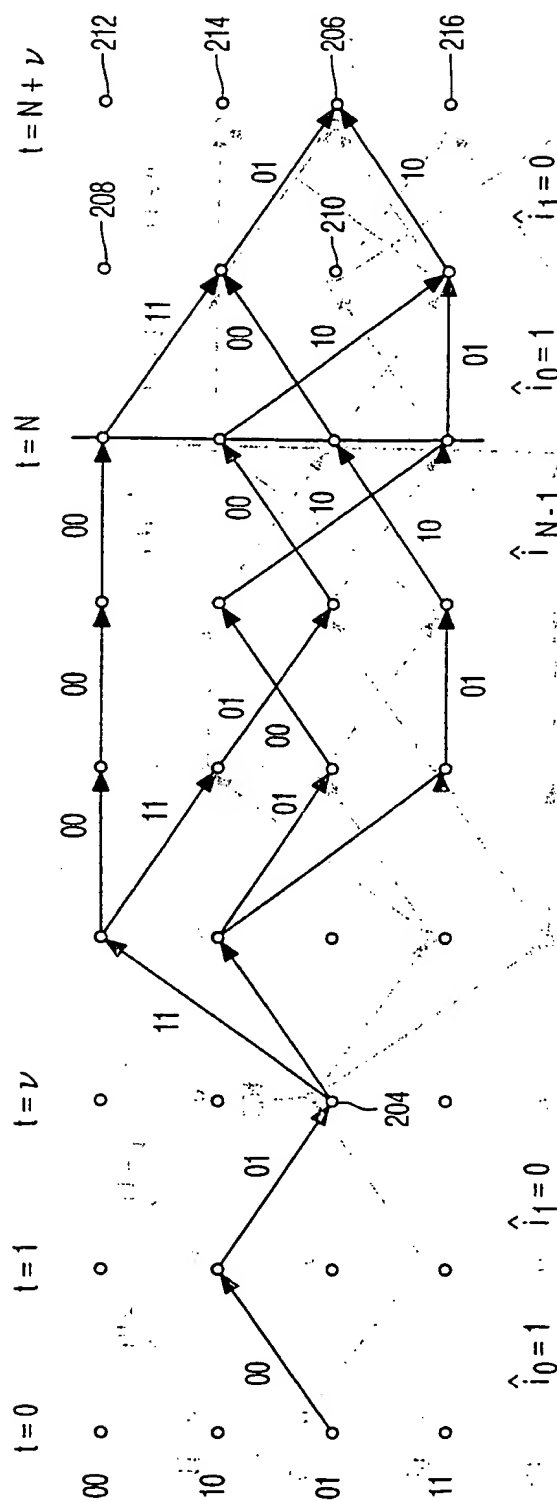


FIG. 5

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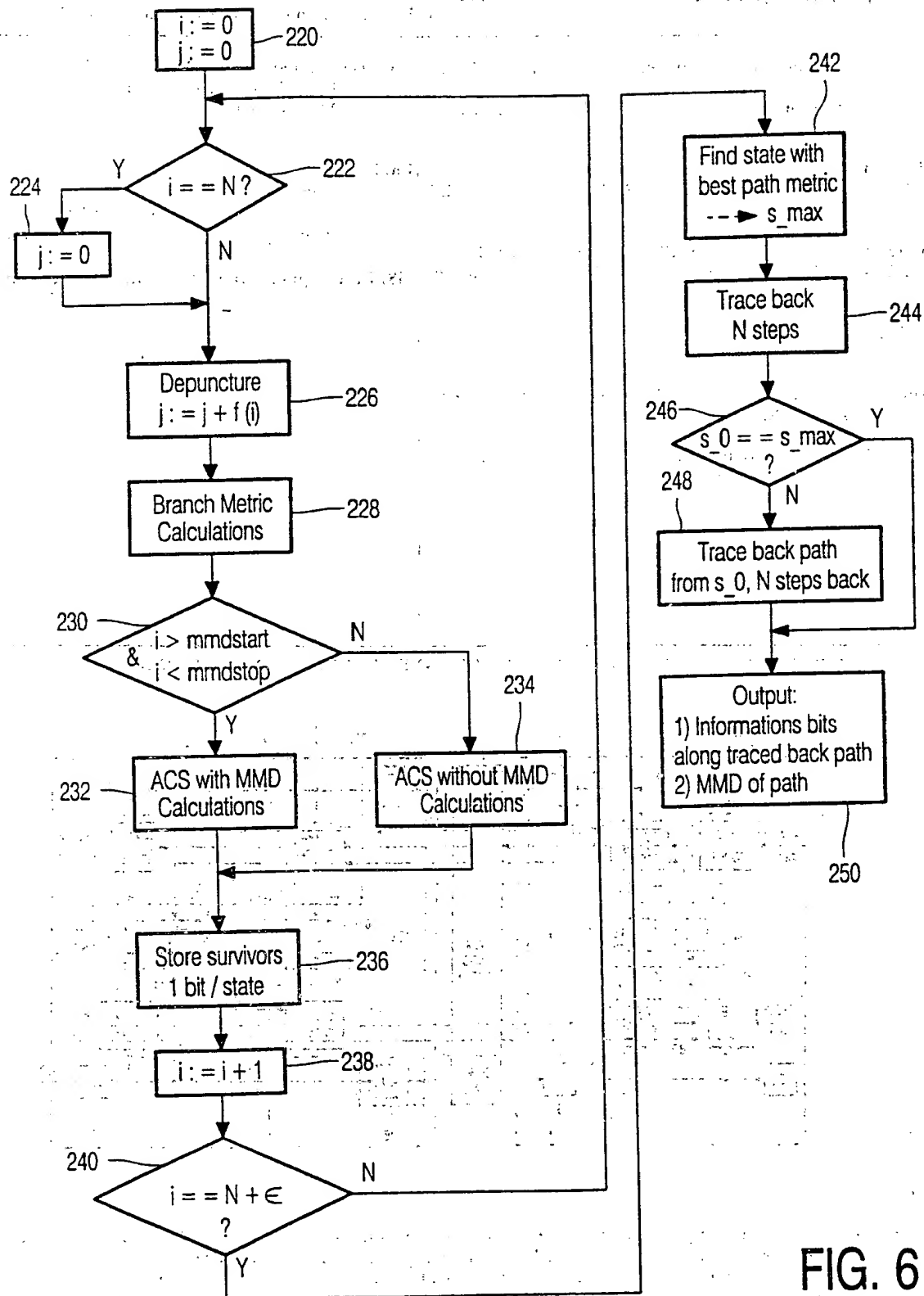


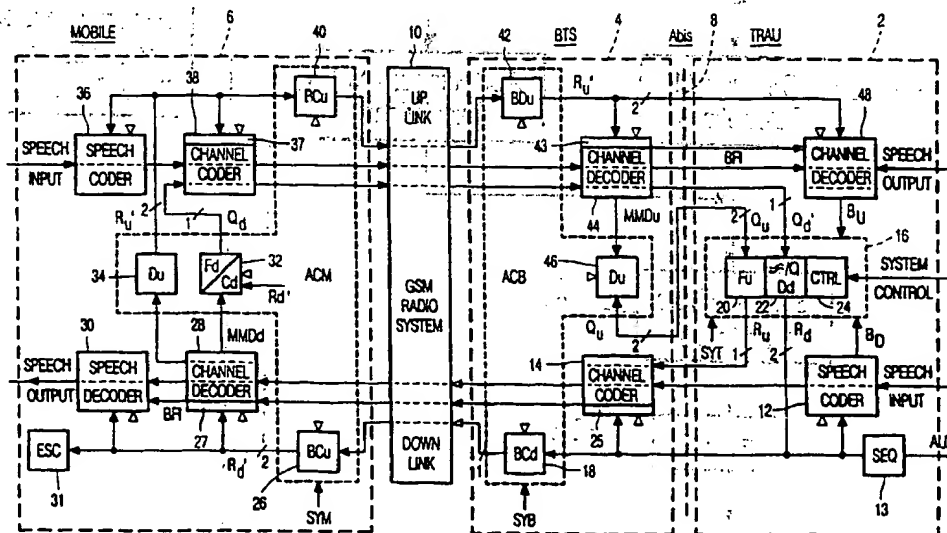
FIG. 6



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<b>(21) International Application Number:</b> PCT/IB99/00921 <b>(22) International Filing Date:</b> 20 May 1999 (20.05.99) <b>(30) Priority Data:</b> 98201735.2 26 May 1998 (26.05.98) EP <b>(71) Applicant:</b> KONINKLIJKE PHILIPS ELECTRONICS N.V. [NL/NL]; Groenewoudseweg 1, NL-5621 BA Eindhoven (NL). <b>(71) Applicant (for SE only):</b> PHILIPS AB [SE/SE]; Kottbygatan 7, Kista, S-164 85 Stockholm (SE). <b>(72) Inventor:</b> HEKSTRA, Ewa, B.; Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL). <b>(74) Agent:</b> DEGUELLE, Wilhelmus, H., G.; Prof. Holstlaan 6, NL-5656 AA Eindhoven (NL).	<b>(81) Designated States:</b> CN, IN, JP, KR, SG, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). <b>Published</b> <i>With international search report:</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i> <b>(88) Date of publication of the international search report:</b> 27 January 2000 (27.01.00)	

**(54) Title:** TRANSMISSION SYSTEM WITH ADAPTIVE CHANNEL ENCODER AND DECODER



**(57) Abstract**

In a transmission system comprising a transmitter (4) coupled via a transmission channel (10) to a receiver (6). The transmitter (4) comprises a channel encoder (14) for deriving encoded symbols from source symbols. The receiver (6) comprises a channel decoder (28) for reconstructing the source symbols from the signal received from the transmission channel (10). According to the present invention, the transmitter (4) comprises a separate encoder for coding and transmitting a coding property used in the channel encoder (14) to the receiver (6). The receiver (6) is arranged for receiving the encoded coding property from the transmission medium, and the separate channel decoder (26) is arranged for decoding the encoded coding property. The coding property provided by the separate channel decoder (26) is passed to setting means (27) in the channel decoder (28) for setting the coding property of the channel decoder (28).

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# INTERNATIONAL SEARCH REPORT

International application No.

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## A. CLASSIFICATION OF SUBJECT MATTER

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According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: H03M, H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

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A	US 4701923 A (ATSUSHI FUKASAWA ET AL), 20 October 1987 (20.10.87), column 7, line 10 - line 68	1-10
A	Patent Abstracts of Japan, abstract of JP 5-175915 A (MATSUSHITA ELECTRIC IND CO LTD); 13 July 1993 (13.07.93)	1-10

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1 December 1999	03-12-1999
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Information on patent family members

02/11/99

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